

State Capitol P.O. Box 2062 Albany, NY 12220-0062 www.its.ny.gov

New York State				
Information	Technology	Policy		

No: NYS-P08-005

IT Policy:

Updated: 10/05/2023

Accessibility of Information Communication Technology

Issued By: NYS Office of Information

Technology Services

Owner: Chief Technology Office

WebNY Enterprise Platform Service

Bureau

1.0 Purpose and Benefits

This policy establishes minimum accessibility requirements to ensure that Information and Communication Technology ("ICT") and certain websites developed, procured, maintained, or used by State Entities is accessible to all people, including those with disabilities. ICT is defined as information technology and other equipment, systems, technologies, or processes, for which the principal function is the creation, manipulation, storage, display, receipt, or transmission of electronic data and information, as well as any associated content. Examples of ICT include but are not limited to computers and peripheral equipment: information kiosks and transaction machines: telecommunications equipment; customer premises equipment; multifunction office machines; software; applications; websites; videos; and, electronic documents. This policy is intended to facilitate the full and complete use of State applications, websites, and other digital interfaces for all users, including those with disabilities.

This policy is also serves as the ITS guidance required by State Technology Law (STL) 103(20) regarding NYS Executive Law Section 170-f, which requires that each contractor, subcontractor, vendor, consultant, or other person providing services pursuant to a state contract shall be required to conform any website provided by such contractor, subcontractor, vendor, consultant, or person in relation to and for the purpose of the provision of such services to the most current version of the Web Content Accessibility Guidelines ("WCAG") adopted by the World Wide Web Consortium ("W3C") for accessibility, or any successor guidelines. The most current version of the Accessibility Web Content Guidelines can found be https://www.w3.org/WAI/standards-guidelines/wcag/. This requirement applies only to websites provided specifically for the services rendered under a state contract.

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2.0 Authority

Section 103(10) of the State Technology Law provides the NYS Office of Information Technology Services ("ITS") with the authority to establish statewide technology policies, including technology and security standards. Section 2 of Executive Order No. 117¹, issued January 2002, provides the State Chief Information Officer with the authority to oversee, direct and coordinate the establishment of information technology policies, protocols and standards for State government, including hardware, software, security, and business re-engineering. Details regarding this authority can be found in ITS Policy, NYS-P08-002 Authority to Establish Enterprise Information Technology Policy, Standards and Guidelines...

Section 103(20) of the State Technology Law requires ITS to issue guidance for contractors, subcontractors, vendors, consultants, or other persons in their compliance with the Web Content Accessibility Guidelines required pursuant to Executive Law Section 170-f. This policy is intended to satisfy that requirement.

3.0 Scope

This policy applies to all "State Entities" (SE), defined as "State Government" entities as defined in Executive Order 117, established January 2002, or "State Agencies" as defined in Section 101 of the State Technology Law. This includes employees and all third parties (such as local governments, consultants, vendors, and contractors) that use or access any IT resource for which the SE or ITS has administrative responsibility, including systems managed or hosted by third parties on behalf of the SE or ITS. While an SE may adopt a different policy/standard, it must include the requirements set forth in this one. Where a conflict exists between this policy/standard and a SE's policy/standard, the more restrictive requirement will take precedence.

4.0 Information Statement

This policy is based on the requirements of Section 508 of the Rehabilitation Act of 1973, as amended ("Revised 508 Standards"), and the Web Content Accessibility Guidelines("WCAG") 2.0 A and AA success criteria.

Pursuant to the Revised 508 Standards, as applied through this policy, content made available by an SE to members of the general public ("public facing content") shall be accessible. Further, electronic content that is not public facing but is official business communicated through one of the following nine categories must also be made accessible: 1) an emergency notification; 2) an initial or final decision adjudicating an administrative claim or proceeding; 3) an internal or external program or policy announcement; 4) a notice of benefits, program eligibility, employment opportunity, or personnel action; 5) a formal acknowledgment of receipt; 6) a survey or questionnaire; 7) a template or form; 8) educational or training materials; and 9) intranet content designed as a Web page.

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¹ All references to Executive Order 117 refer to that which was originally issued by Governor George E. Pataki on January 28, 2002 and continued by Executive Order 5 issued by Governor Eliot Spitzer on January 1, 2007, Executive Order 9 issued by Governor David A. Patterson on June 18, 2008, Executive Order 2 issued by Governor Andrew M. Cuomo on January 1, 2011, and Executive Order 6 issued by Governor Kathy Hochul on October 8, 2021.

Moreover, SEs shall, to the extent such creation does not create an undue burden on the SE, conform its newly created ICT and public facing content to WCAG 2.0 A and AA guidelines, which provide recommendations for making Web content even more accessible. For guidance on how to make content accessible consistent with WCAG 2.0 A and AA, please see the resources on the W3C website: https://www.w3.org/WAI/GL/WCAG20/; including, but not limited to the materials in the section "WCAG 2.0 Supporting Documents."

Ensuring Compliance

To ensure compliance with the requirements of Revised 508 Standards, NYS Executive Law Section 170-f, and this policy, SEs must:

- Conduct manual testing of ICT before production use, prior to any fundamental alterations, and biennially thereafter through use of specialized software or services.
- Maintain documented testing reports for each ICT until the next automated and manual testing is completed.
- Keep a list of ICTs and the current compliance status of each.
- Clearly post an "accessibility" link on the SE website's Home Page footer.
 The linked page should specify whom to contact with questions about the
 site's accessibility and the accessibility of any other ICT under the control of
 the SE.
- Require that each contractor, subcontractor, vendor, consultant, or other
 person providing services pursuant to a state contract shall be required to
 conform any website provided by such contractor, subcontractor, vendor,
 consultant, or person in relation to and for the purpose of the provision of
 such services to the most current version of the Web Content Accessibility
 Guidelines ("WCAG") adopted by the World Wide Web Consortium ("W3C")
 for accessibility, or any successor guidelines.
 - The most current version of the Web Content Accessibility Guidelines can be found here: https://www.w3.org/WAI/standards-guidelines/wcag/.
- Please note that this requirement applies only to websites provided specifically for the services rendered under the state contract.

Third Party Web-based Information and Application Development

All solicitation documents, contracts, and any amendments executed by SEs containing a scope of work requiring development, procurement, and/or maintenance of ICT shall include the following clause:

Any network-based information and applications development, or programming, including, but not limited to, websites delivered to or by the State pursuant to this contract or procurement, will comply with Section 508 of the Rehabilitation Act of

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1973, as amended, Executive Law Section 170-f, as amended, and be consistent with New York State Enterprise IT Policy NYS-P08-005, Accessibility of Information Communication Technology, as such policy may be amended, modified or superseded (the "Accessibility Policy"). The Accessibility Policy requires that State Entity Information Communication Technology shall be accessible to all users, including those with disabilities as determined by accessibility compliance testing. Such accessibility compliance testing will be conducted by [State Entity name, contractor or other] and any report on the results of such testing must be satisfactory to [State Entity name].

Exemptions

The exemptions described in the following section do not apply to contractors, subcontractors, vendors, consultants, or other persons providing services pursuant to a State contract subject to Executive Law § 170-f.

A. Existing ICT

Any component or portion of existing ICT, meaning ICT that has been procured, maintained, or used on or before January 18, 2018, that complies with an earlier standard issued pursuant to Section 508, as amended, and that has not been altered on or after January 18, 2018, shall not be required to be modified to conform to the Revised 508 Standards.

B. Undue Burden or Fundamental Alteration

Where an SE determines that conformance to requirements in the Revised 508 Standards would impose an undue burden or would result in a fundamental alteration in the nature of the ICT, conformance shall be required only to the extent that it does not impose an undue burden or result in a fundamental alteration in the nature of the ICT. Nothing in this policy alters an SE's independent authority and responsibility to determine what constitutes an undue burden or fundamental alteration.

The SE, in determining whether conformance to requirements in the Revised 508 Standards would impose an undue burden, shall consider the extent to which conformance would impose significant difficulty or expense considering the SE resources available to the program or component for which the ICT is to be procured, developed, maintained, or used.

The responsible SE official shall document in writing the basis for determining that conformance to requirements in the Revised 508 Standards constitutes an undue burden on the SE or would result in a fundamental alteration in the nature of the ICT. The documentation shall include an explanation of why and to what extent compliance with applicable requirements would create an undue burden or result in a fundamental alteration in the nature of the ICT.

Where conformance to one or more requirements in the Revised 508 Standards

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imposes an undue burden or a fundamental alteration in the nature of the ICT, the SE shall provide individuals with disabilities access to and use of information and data by an alternative means that meets identified needs.

ITS may request to review any determinations of undue burden or fundamental alteration. Such review may include, but is not limited to, review of the technical and business analyses, and other project documentation, technologies or systems which are the subject of this policy or any applicable standards. However, ITS' review of such determinations is not meant to replace the judgement of the SE in arriving at this decision. The SE understands that it accepts the risk of non-compliance by publishing an ICT that does not conform to this standard and should consult its legal counsel accordingly.

5.0 Compliance

This policy shall take effect upon publication. Compliance is required with all enterprise policies and standards. ITS may amend its policies and standards at any time; compliance with amended policies and standards is required.

6.0 Definitions of Key Terms

Except for terms defined in this policy, all terms shall have the meanings found in http://www.its.ny.gov/glossary.

7.0 Contact Information

Submit all inquiries and requests for future enhancements to the policy owner at:

WebNY Enterprise Platform Service Bureau Reference: NYS-P08-005 NYS Office of Information Technology Services State Capitol, ESP, P.O. Box 2062 Albany, NY 12220 Telephone: 518-457-3300

Email: webnysupport@its.ny.gov

Statewide technology policies, standards, and guidelines may be found at the following website: https://its.ny.gov/policies

8.0 Revision History

This policy should be reviewed consistent with the requirements set forth in NYS-P09-003 Process for Establishing Information Technology Polices, Standards and Guidelines.

Date	Description of Change	Reviewer
06/21/2004	Original Policy Release.	CIO/OFT

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10/25/2006	Revised to add procurement language and to	CIO/OFT
08/01/2008	make minor changes to the standards. Revised to update the standards and eliminate any exceptions to the policy.	CIO/OFT
01/14/2009	NYS P08-005, NYS S08-005, and G06-001 Best Practice Guidelines are combined as one document numbered NYS P08-005.	CIO/OFT
01/27/2010	Made conforming changes to align with Webcasting Open Meetings Standard, NYS-S07-001; eliminated compliance schedule in A.11.1; A.11.2 and A.11.3. Compliance schedule has passed. Made similar conforming changes to Best Practice Guideline.	CIO/OFT
05/17/2010	Revised to replace customized NYS standard and instead to align with federal standards Section 508, Subpart B, section 1194.22 and Subpart C, section 1194.31.	CIO/OFT
9/10/2010	Revised to reflect change in reporting date from March 31 to December 31 of each year.	CIO/OFT
10/17/2011	Revision to Section 5.0.	CIO/OFT
09/12/2012	Reformatted and updated to reflect current CIO, agency name, logo and style.	ITS
03/26/2019	Scheduled review. Updated template scope authority	WebNY Enterprise Platform Service Bureau
07/17/2019	On page 3 in "Ensuring Compliance", first bullet. Changed the word "biannually" to "biennial"	WebNY Enterprise Platform Service Bureau
01/24/2022	Updated policy	Chief Technology Office
10/05/2023	Updated WCAG compliance	Chief Technology Office/WebNY Enterprise Platform Service Bureau

9.0 Related Documents

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